04-03-06AP7 Rec'd PCT/PTO 31 MAR 2016 CT/4

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033106

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER: APLE 2 00009

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

INTERNATIONAL FILING DATE

PRIORITY DATE CLAIMED

2 October 2003

PCT	/GB	2004/004049	23 September 2004	2 October 2003						
TITLE OF INVENTION										
FRACTURING OF SUBTERRANEAN FORMATIONS										
APPLICANT(S) FOR DO/EO/US										
Crabtree et al.										
Applicant submits herewith to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
	$\boxtimes$	This is a FIRST submission of items concerning a submission under 35 U.S.C. 371.								
		This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.								
		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.	$\boxtimes$	The US has been elected (Article 31).								
<b>`</b> 5.	$\boxtimes$	A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		a. 🔯 is attached hereto (required only if not communicated by the International Bureau).								
		b. 🛛 has been communicate	d by the International Bureau.							
		c.  is not required, as the a	application was filed in the United States Re	ceiving Office (RO/US).						
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).								
		a.  is attached hereto.	•							
		b.  has been previously su	bmitted under 35 U.S.C. 154(d)(4).							
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a.   are attached hereto (required only if not communicated by the International Bureau).								
		b.  has been communicated by the International Bureau.								
		c.  have not been made; h	owever, the time limit for making such ame	ndments has NOT expired.						
		d. 🔯 have not been made and will not be made.								
8.		An English language translation of	of the amendments to the claims under PCT	Article 19 (35 U.S.C. 371(c)(3)).						
9.	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).									
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
	_	ms 11 to 20 below concern document(s) or information included:								
ľ	=	An Information Disclosure Statement, related PTO-Form 1449 and references (if required) under 37 CFR 1.97 and 1.98.								
i		An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 included.								
13.	_	A Preliminary Amendment.								
14.	=	An Application Data Sheet.								
15.	=	A substitute specification.								
16.	_	A power of attorney and/or change of address letter.								
17.		A computer readable form of the sequence listing in accordance with PCT Rule 13.ter.2 and 37 CFR 1.821-1.825.								
18.	=	A second copy of the published International Application under 35 U.S.C. 154(d)(4).								
19.	_	A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).								
20.		Other items or information:								

IAP20 Residuentio si MAR 2006,

SUBSTITUTE FOR PTO-1390 (Rev. 12-2004)
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	APPLICATION NO. (IF KNOWN, See 37 CFR.) 5) INTERNATIONAL APPLICATION NO. PCT/GB2004/004049			ATTORNEY'S DOCKET NO.		
101	2/42		PCT/GB2004/00	04049	APLE 2 00009	
21. Applicant(s	) claim(s) small	entity status	. See 37 CFR	1.27	Calculations	PTO Use Only
The following small	l entity fees ha	ve been subr	nitted:			
22. 🛭 Basic nat	tional fee (37 Cl	FR 1.492(a))		\$150.00	\$150.00	
23. 🛭 Examina	tion fee (37 CFF	R 1.492(c))				
internatio by IPEA/ of PCT A	ten opinion preponal preliminary US indicates all urticle 33(1)-(4) situations	examination	report prepared	\$0 \$100.00	\$100.00	
24. Search fee (37 CFR 1.492(b))						
internation by IPEA/ of PCT A Search fe	tten opinion pre onal preliminary US indicates all orticle 33-(1)-(4) ee (37 CFR 1.44	examination claims satisful (2) has	i \$0			
Internation	national applicat onal Searching / onal Search Rep	Authority	\$50.00			
other tha previousl	n the US and pi y communicate situations	rovided to the	\$200.00 \$250.00	\$200.00		
	TOTAL OF 22	·				
Surcharge of \$65.0	00 for furnishing					
				amination fee, or the tional stage (37 CFR	\$0.00	
oath or declaration					\$0.00	
oath or declaration 1.492(h)).	After the date of NUMBER	NUMBER	ement of the na	tional stage (37 CFR	\$0.00 \$125.00	<u> </u>
oath or declaration 1.492(h)). CLAIMS Total Claims Independent	After the date of NUMBER FILED	NUMBER EXTRA	RATE	tional stage (37 CFR CALCULATIONS		
oath or declaration 1.492(h)). CLAIMS Total Claims	NUMBER FILED 25 - 20 =	NUMBER EXTRA	RATE x \$25	tional stage (37 CFR  CALCULATIONS  = \$0.00	\$125.00	-
oath or declaration 1.492(h)).  CLAIMS  Total Claims  Independent Claims  Multiple Dependent	NUMBER FILED 25 - 20 =	NUMBER EXTRA 5	RATE x \$25 x \$100	CALCULATIONS = \$0.00 = \$0.00	\$125.00 \$0.00	
oath or declaration 1.492(h)).  CLAIMS  Total Claims  Independent Claims  Multiple Dependent Claim(s)  Additional fee for specification and drawings filed in paper over 100	After the date of the state of	NUMBER EXTRA 5 0 0	RATE  x \$25  x \$100  x \$180	tional stage (37 CFR  CALCULATIONS  = \$0.00  = \$0.00  = \$0.00	\$125.00 \$0.00 \$0.00	
oath or declaration 1.492(h)).  CLAIMS  Total Claims  Independent Claims  Multiple Dependent Claim(s)  Additional fee for specification and drawings filed in paper over 100	After the date of the state of	NUMBER EXTRA 5 0 0 0 TOTAL on ishing the state of the sta	RATE  x \$25  x \$100  x \$180  x \$125  DF ABOVE CALCUE	### CALCULATIONS  = \$0.00  = \$0.00  = \$0.00  = \$0.00	\$125.00 \$0.00 \$0.00 \$0.00	
oath or declaration 1.492(h)).  CLAIMS  Total Claims  Independent Claims  Multiple Dependent Claim(s)  Additional fee for specification and drawings filed in paper over 100 sheets  Processing fee of than 30 months	After the date of the state of	NUMBER EXTRA 5 0 0 TOTAL consisting the sext claimed	RATE  x \$25  x \$100  x \$180  x \$125  DF ABOVE CALCUE	### CALCULATIONS  = \$0.00  = \$0.00  = \$0.00  = \$0.00    \$0.00	\$125.00 \$0.00 \$0.00 \$0.00	
oath or declaration 1.492(h)).  CLAIMS  Total Claims  Independent Claims  Multiple Dependent Claim(s)  Additional fee for specification and drawings filed in paper over 100 sheets  Processing fee of than 30 months	NUMBER FILED 25 - 20 = 3 - 3 =  Group(s): (Group = number of each additional 50 or fraction thereof)  \$65.00 for fun from the earlie the enclosed a be accompanied	NUMBER EXTRA  5  0  0  TOTAL of this hing the est claimed est claimed est grant (d by an approximation).	RATE  x \$25  x \$100  x \$180  x \$125  DF ABOVE CALCUEING Ish translate priority date ( TOTAL NATION 37 CFR 1.21(h	### CALCULATIONS  = \$0.00  = \$0.00  = \$0.00  = \$0.00  #### Solution	\$125.00 \$0.00 \$0.00 \$0.00 \$0.00 \$425.00	

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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a.	☐ A check in the amount of \$ to cover the above	fees is enclosed.					
b.	Please charge Deposit Account No. 06-0308 in the sheet is enclosed.	e amount of \$ to cover the fees identified. A duplicate of this					
C.	Fees indicated above are to be charged to a credit of the Credit Card is unable to be charged, please Account No. 06-0308.	eard. The appropriate form PTO-2038 is enclosed for this purpose. If e charge any and all fees or credit any overpayment to Deposit					
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.							
SEND ALL CORRESPONDENCE TO:							
Sco	ott A. McCollister	1 V/ /// U					
	y, Sharpe, Fagan, Minnich & McKee, LLP 00 Superior Avenue	Signature					
	venth Floor	Scott A. McCollister					
Clev	veland, OH 44114-2579	Name					
		33,961					
		Registration Number					
		March 31, 2006					
		Date					

N:\template\P-SM-371 Transmittal with cal.doc

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10/974359



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Crabtree et al.

**FOR** 

FRACTURING OF SUBTERRANEAN

**FORMATIONS** 

SERIAL NO.

To be assigned

**FILED** 

Herewith

ATTORNEY DOCKET NO.

**APLE 2 00009** 

## **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

"Express Mail" Mailing Label Number:

EV 690752767 US

Date of Deposit:

March 31, 2006

I hereby certify that the attached *TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371* and accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10, addressed to: MAIL STOP PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date indicated above.

Georgeen B. Sonntag